



## Notice of a public meeting of

### Audit and Governance Committee

<b>To:</b>	Councillors Hollyer (Chair), J Burton (Vice-Chair), Fisher, Merrett, Rose, Coles, Watson and Binney (Independent Member)
<b>Date:</b>	Wednesday, 29 January 2025
<b>Time:</b>	5.30 pm
<b>Venue:</b>	West Offices - Station Rise, York YO1 6GA

### AGENDA

#### 1. **Apologies for Absence**

To receive and note apologies for absence.

#### 2. **Declarations of Interest** (Pages 7 - 8)

At this point in the meeting, Members and co-opted members are asked to declare any disclosable pecuniary interest, or other registerable interest, they might have in respect of business on this agenda, if they have not already done so in advance on the Register of Interests. The disclosure must include the nature of the interest.

An interest must also be disclosed in the meeting when it becomes apparent to the member during the meeting.

*[Please see attached sheet for further guidance for Members].*

### 3. **Exclusion of Press and Public**

To consider the exclusion of the press and public from the meeting during consideration of the following:

Annex 3 and 4 to Agenda Item 12 on the grounds that it contains information relating to Information relating to the financial or business affairs of any particular person (including the authority holding that information). This information is classed as exempt under paragraph 3 of Schedule 12A to Section 100A of the Local Government Act 1972 (as revised by The Local Government (Access to Information) (Variation) Order 2006).

Annex 4 a to f to Agenda Item 13 on the grounds that it contains information relating to Information relating to any action taken or to be taken in connection with the prevention, investigation, or prosecution of crime. This information is classed as exempt under paragraph 3 of Schedule 12A to Section 100A of the Local Government Act 1972 (as revised by The Local Government (Access to Information) (Variation) Order 2006).

### 4. **Minutes & Action Log** (Pages 9 - 30)

To approve and sign the minutes of the meeting held on 27 November 2024.

### 5. **Public Participation**

At this point in the meeting members of the public who have registered to speak can do so. Members of the public may speak on agenda items or on matters within the remit of the Committee.

**Please note that our registration deadlines are set as 2 working days before the meeting, in order to facilitate the management of public participation at our meetings. The deadline for registering at this meeting is 5:00pm on 27 January 2025.**

To register to speak please visit [www.york.gov.uk/AttendCouncilMeetings](http://www.york.gov.uk/AttendCouncilMeetings) to fill in an online registration form. If you have any questions about the registration form or the meeting, please contact Democratic Services. Contact details can be found at the foot of this agenda.

## **Webcasting of Public Meetings**

Please note that, subject to available resources, this meeting will be webcast including any registered public speakers who have given their permission. The meeting can be viewed live and on demand at [www.york.gov.uk/webcasts](http://www.york.gov.uk/webcasts).

During coronavirus, we made some changes to how we ran council meetings, including facilitating remote participation by public speakers. See our updates ([www.york.gov.uk/COVIDDemocracy](http://www.york.gov.uk/COVIDDemocracy)) for more information on meetings and decisions.

### **6. Audit Completion Report 2023/24 (Pages 31 - 76)**

The paper attached at Annex A is the Audit Completion Report from Forvis Mazars and communicates their findings of the audit for the year ended 31 March 2024.

### **7. Treasury Management Monitor 3 (Pages 77 - 100)**

Audit & Governance Committee are responsible for ensuring effective scrutiny of the treasury management strategy and policies, as stated in the Treasury Management Strategy 2024/25 approved by Full Council on 22 February 2024.

### **8. Treasury Management Strategy (Pages 101 - 146)**

This report is a statutory requirement setting the strategy for treasury management and specific treasury management indicators for the financial year 2025/26.

### **9. Final Statement of Accounts 2023/24 (Pages 147 - 352)**

The purpose of this report is to present for information the amended draft 2023/24 Statement of Accounts following near completion of the audit.

### **10. Audit and Governance Committee Work Plan (Pages 353 - 356)**

To consider the committee's work plan.

#### **11. Internal Audit Workplan Consultation (Pages 357 - 372)**

This report outlines arrangements for developing the internal audit work programme. It also asks for the Audit & Governance Committee's views on any areas it thinks should be considered for audit in 2025/26.

#### **12. Internal Audit & Counter Fraud Progress Report (Pages 373 - 416)**

This report provides an update on the delivery of the internal audit work programme for 2024/25, and on counter fraud activity undertaken so far in the year.

#### **13. Corporate Governance report (Pages 417 - 498)**

This report provides the Committee with updates in respect of:

- corporate governance team performance report
- Information Commissioners Office (ICO) cases
- Local Government and Social Care Ombudsman (LGSCO) and Housing Ombudsman Service (HOS) cases
- HOS complaint handling code assessment
- Investigatory Powers Commissioner (IPCO) inspection including Audit and Governance Committee's "fit for purpose" review of the covert surveillance policy and procedures and data report. This will be discussed and conducted with the exclusion of the press and public.

#### **14. Urgent Business**

Any other business which the Chair considers urgent under the Local Government Act 1972.

Democracy Officer:

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## Reasonable Adjustments and Alternative formats statement

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**We can also translate into the following languages:**

我們也用您們的語言提供這個信息 (Cantonese)

এই তথ্য আপনার নিজের ভাষায় দেয়া যেতে পারে। (Bengali)

Ta informacja może być dostarczona w twoim własnym języku. (Polish)

Bu bilgiyi kendi dilinizde almanız mümkündür. (Turkish)

یہ معلومات آپ کی اپنی زبان (بولی) میں بھی مہیا کی جاسکتی ہیں۔ (Urdu)

For more information about any of the following please contact the Democracy Officer responsible for servicing this meeting:

- Registering to speak
- Business of the meeting
- Any special arrangements
- Copies of reports

Contact details are set out above.